

paragraphs (b) through (d), and (g) of this section.

(2) No person shall knowingly provide substantial assistance in the making of an expenditure, independent expenditure, or disbursement prohibited by paragraphs (e) and (f) of this section.

(i) *Participation by foreign nationals in decisions involving election-related activities.* A foreign national shall not direct, dictate, control, or directly or indirectly participate in the decision-making process of any person, such as a corporation, labor organization, political committee, or political organization with regard to such person's Federal or non-Federal election-related activities, such as decisions concerning the making of contributions, donations, expenditures, or disbursements in connection with elections for any Federal, State, or local office or decisions concerning the administration of a political committee.

(j) *Donations by foreign nationals to inaugural committees.* A foreign national shall not, directly or indirectly, make a donation to an inaugural committee, as defined in 11 CFR 104.21(a)(1). No person shall knowingly accept from a foreign national any donation to an inaugural committee.

[67 FR 69950, Nov. 19, 2002, as amended at 69 FR 59780, Oct. 6, 2004]

PART 111—COMPLIANCE PROCEDURE (2 U.S.C. 437g, 437d(a))

Subpart A—Enforcement

Sec.

- 111.1 Scope (2 U.S.C. 437g).
- 111.2 Computation of time.
- 111.3 Initiation of compliance matters (2 U.S.C. 437g(a)(1), (2)).
- 111.4 Complaints (2 U.S.C. 437g(a)(1)).
- 111.5 Initial complaint processing; notification (2 U.S.C. 437g(a)(1)).
- 111.6 Opportunity to demonstrate that no action should be taken on complaint-generated matters (2 U.S.C. 437g(a)(1)).
- 111.7 General Counsel's recommendation on complaint-generated matters (2 U.S.C. 437g(a)(1)).
- 111.8 Internally generated matters; referrals (2 U.S.C. 437g(a)(2)).
- 111.9 The reason to believe finding; notification (2 U.S.C. 437g(a)(2)).
- 111.10 Investigation (2 U.S.C. 437g(a)(2)).
- 111.11 Written questions under order (2 U.S.C. 437d(a)(1)).

- 111.12 Subpoenas and subpoenas duces tecum; depositions (2 U.S.C. 437d(a)(3), (4)).
- 111.13 Service of subpoenas, orders and notifications (2 U.S.C. 437d(a)(3), (4)).
- 111.14 Witness fees and mileage (2 U.S.C. 437d(a)(5)).
- 111.15 Motions to quash or modify a subpoena (2 U.S.C. 437d(a)(3), (4)).
- 111.16 The probable cause to believe recommendation; briefing procedures (2 U.S.C. 437g(a)(3)).
- 111.17 The probable cause to believe finding; notification (2 U.S.C. 437g(a)(4)).
- 111.18 Conciliation (2 U.S.C. 437g(a)(4)).
- 111.19 Civil proceedings (2 U.S.C. 437g(a)(6)).
- 111.20 Public disclosure of Commission action (2 U.S.C. 437g(a)(4)).
- 111.21 Confidentiality (2 U.S.C. 437g(a)(12)).
- 111.22 Ex parte communications.
- 111.23 Representation by counsel; notification.
- 111.24 Civil Penalties (2 U.S.C. 437g(a)(5), (6), (12), 28 U.S.C. 2461 nt.).

Subpart B—Administrative Fines

- 111.30 When will subpart B apply?
- 111.31 Does this subpart replace subpart A of this part for violations of the reporting requirements of 2 U.S.C. 434(a)?
- 111.32 How will the Commission notify respondents of a reason to believe finding and a proposed civil money penalty?
- 111.33 What are the respondent's choices upon receiving the reason to believe finding and the proposed civil money penalty?
- 111.34 If the respondent decides to pay the civil money penalty and not to challenge the reason to believe finding, what should the respondent do?
- 111.35 If the respondent decides to challenge the alleged violation or proposed civil money penalty, what should the respondent do?
- 111.36 Who will review the respondent's written response?
- 111.37 What will the Commission do once it receives the respondent's written response and the reviewing officer's recommendation?
- 111.38 Can the respondent appeal the Commission's final determination?
- 111.39 When must the respondent pay the civil money penalty?
- 111.40 What happens if the respondent does not pay the civil money penalty pursuant to 11 CFR 111.34 and does not submit a written response to the reason to believe finding pursuant to 11 CFR 111.35?
- 111.41 To whom should the civil money penalty payment be made payable?
- 111.42 Will the enforcement file be made available to the public?
- 111.43 What are the schedules of penalties?

Federal Election Commission

§ 111.4

111.44 What is the schedule of penalties for 48-hour notices that are not filed or are filed late?

111.45 What actions will be taken to collect unpaid civil money penalties?

111.46 How will the respondent be notified of actions taken by the Commission and the reviewing officer?

AUTHORITY: 2 U.S.C. 432(i), 437g, 437d(a), 438(a)(8); 28 U.S.C. 2461 nt.

SOURCE: 45 FR 15120, Mar. 7, 1980, unless otherwise noted.

Subpart A—Enforcement

§ 111.1 Scope (2 U.S.C. 437g).

These regulations provide procedures for processing possible violations of the Federal Election Campaign Act of 1971, as amended (2 U.S.C. 431, *et seq.*) and chapters 95 and 96 of the Internal Revenue Code of 1954 (26 U.S.C. 9001, *et seq.* and 9031 *et seq.*).

§ 111.2 Computation of time.

(a) *General rule.* In computing any period of time prescribed or allowed by this part, the day of the act, event, or default from which the designated period of time begins to run shall not be included. The last day of the period so computed shall be included, unless it is a Saturday, a Sunday, or a legal holiday. As used in this section, the term *legal holiday* includes New Year's Day, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans Day, Thanksgiving Day, Christmas Day, and any other day appointed as a holiday for employees of the United States by the President or the Congress of the United States.

(b) *Special rule for periods less than seven days.* When the period of time prescribed or allowed is less than seven (7) days, intermediate Saturdays, Sundays, and legal holidays shall be excluded in the computation.

(c) *Special rule for service by mail.* Whenever the Commission or any person has the right or is required to do some act within a prescribed period after the service of any paper by or upon the Commission or such person and the paper is served by or upon the Commission or such person by mail, three (3) days shall be added to the prescribed period.

§ 111.3 Initiation of compliance matters (2 U.S.C. 437g(a)(1), (2)).

(a) Compliance matters may be initiated by a complaint or on the basis of information ascertained by the Commission in the normal course of carrying out its supervisory responsibilities.

(b) Matters initiated by complaint are subject to the provisions of 11 CFR 111.4 through 111.7. Matters initiated on the basis of information ascertained by the Commission in the normal course of carrying out its supervisory responsibilities are subject to the provisions of 11 CFR 111.8. All compliance matters are subject to the provisions of 11 CFR 111.2 and 111.9 through 111.23.

§ 111.4 Complaints (2 U.S.C. 437g(a)(1)).

(a) Any person who believes that a violation of any statute or regulation over which the Commission has jurisdiction has occurred or is about to occur may file a complaint in writing to the General Counsel, Federal Election Commission, 999 E Street, NW., Washington, DC 20463. If possible, three (3) copies should be submitted.

(b) A complaint shall comply with the following:

(1) It shall provide the full name and address of the complainant; and

(2) The contents of the complaint shall be sworn to and signed in the presence of a notary public and shall be notarized.

(c) All statements made in a complaint are subject to the statutes governing perjury and to 18 U.S.C. 1001. The complaint should differentiate between statements based upon personal knowledge and statements based upon information and belief.

(d) The complaint should conform to the following provisions:

(1) It should clearly identify as a respondent each person or entity who is alleged to have committed a violation;

(2) Statements which are not based upon personal knowledge should be accompanied by an identification of the source of information which gives rise to the complainant's belief in the truth of such statements;